



IAP15 Rec'd PCT/PTO 30 MAY 2006

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

34

Application Number

10/539,461

Filing Date

12/22/2003

First Named Inventor

Guest, et al.

Art Unit

Examiner Name

Attorney Docket Number

033327.0024

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

Remarks

Combined Declaration and Power of Attorney

Added Page to Combined Declaration and Power of Attorney

Statement of Facts in support of filing for non-signing inventors

copy of correspondence sent to non-signing inventors

Statement of Proprietary Interest by Person signing..

copy of recorded assignment



After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):

Postcard Return Receipt

Certificate of Express Mail

Assignment Recordation Cover Sheet

Copy of Assignment

Copy of Notice to file Missing Parts

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Williams Mullen

Signature

Printed name

Thomas F. Bergert

Date

May 30, 2006

Reg. No.

38,076

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Rachelle Gruenberg

Date

5/30/06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John Guest et al.

Serial No. 10/539,461

For: PAYMENT SYSTEM

Box: MISSING PARTS

**STATEMENT ESTABLISHING PROPRIETARY INTEREST BY PERSON SIGNING  
ON BEHALF OF NON-SIGNING INVENTORS UNDER 37 C.F.R. 1.47(B)  
AND 35 U.S.C. 118**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The undersigned, serving in the capacity indicated, hereby declares that he/she is the person signing the declaration on the above-identified application on behalf of the non-signing inventors and makes this statement as to the facts establishing his/her proprietary interest.

As of the date he/she signed the declaration for this application, the proprietary interest in this invention belonged to the following juristic person: GTECH Global Services Corporation, Ltd., a corporation duly organized and validly existing under the laws of Cyprus with a principal address at 27 Gregory Afxentiou Avenue, 6021 Larnaca, Cyprus; and that, he/she is authorized to sign the statement on behalf of the juristic person; that he/she establishes the proprietary interest by: (1) the attached copy of an agreement executed by the non-signing inventors assigning rights to Inca Payments Ltd. ("Inca"), a UK company, whereby said agreement assigns all title in the inventions made during employment to Inca; and (2) the attached copy of an agreement assigning Inca's rights to GTECH Global Services Corporation, Ltd., whereby said documentation assigns and places ownership of this invention to the legal entity on whose behalf I have authority to sign. A copy of this assignment is being recorded with the U.S. Patent Office simultaneously herewith. A statement of facts is also being filed simultaneously herewith, wherein said statement is signed by a person having first-hand knowledge that the non-signing inventors were Inca employees when the invention was made.

In accordance with 37 CFR 3.73, the assignee hereby states that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of assignee's knowledge and belief, title is in the assignee seeking to take this action.

GTECH Global Services Corporation, Ltd.

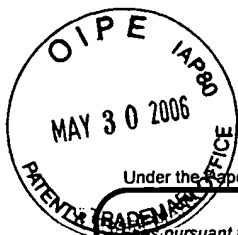
Date: 5/26/06

By: 

Printed Name: W. BRUCE TURNER

Title: PRESIDENT & CEO

Attached: Copy of non-signing inventor assignment to Inca Payments Ltd.  
Copy of assignment from Inca Payments Ltd. to GTECH Global Services Corporation Ltd.



PTO/SB/17 (01-06)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
For FY 2006**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 2,330.00**Complete if Known**

Application Number	10/539,461 (PCT/IB03/05665)
Filing Date	6/20/2005 (12/22/2003)
First Named Inventor	Guest, et al.
Examiner Name	
Art Unit	
Attorney Docket No.	033327.0024

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 50-0766 Deposit Account Name: Williams Mullen

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

**Small Entity**

Fee (\$)

Fee (\$)

Each independent claim over 3 (including Reissues)

200

100

Multiple dependent claims

360

180

**Total Claims** **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

- 20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of total claims paid for, if greater than 20.

**Indep. Claims** **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

- 3 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3.

**Multiple Dependent Claims****Fee (\$)** **Fee Paid (\$)****3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
- 100 = _____	/ 50 = _____	(round up to a whole number) x _____	= _____	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

**Fees Paid (\$)**Other (e.g., late filing surcharge): Petition, Assignment, Petition for 5-month Extension of Time **\$2,330****SUBMITTED BY**

Signature	<u>Thomas F. Bergert</u>	Registration No. (Attorney/Agent) 38,076	Telephone 703.760.5200
Name (Print/Type)	Thomas F. Bergert		Date May 30, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

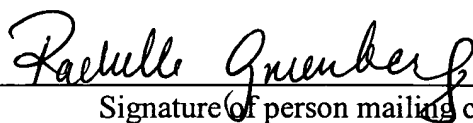
In re application of: ]  
John Guest et al. ]  
Serial No. 10/539,461 ]  
International Application No. ]  
PCT/IB03/05665 ]  
International Filing Date ]  
December 22, 2003 ]  
For: PAYMENT SYSTEM ]

**Certificate under 37 CFR 1.10 of Mailing by "Express Mail"**

ED 493981936 US  
"Express Mail" label number

May 30, 2006  
Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313.



Signature of person mailing correspondence

Rachelle Gruenberg  
Typed or printed name of person mailing correspondence

Note: Each paper must have its own certificate of mailing by "Express Mail".  
1289036v1



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Office application of:

John Guest et al.

Serial No. 10/539,461

International Application No.  
PCT/IB03/05665

International Filing Date  
December 22, 2003

For: PAYMENT SYSTEM

Box: MISSING PARTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILING MISSING PARTS**

Dear Sir:

This is in response to the Notice to File Missing Parts mailed October 31, 2005 in this application. The application as originally filed was missing the signed declaration.

06/05/2006 MKAYPAGH 00000122 10539461

02 FC:1617 130.00 DP

Filed herewith is a substitute Combined Declaration and Power of Attorney form, an Added Page to the Combined Declaration and Power of Attorney form, a Statement of Facts related to the attempts to reach the non-signing inventors with supporting documents, a Statement of Proprietary Interest of the juristic person having authority to make this application for patent on behalf of the non-signing inventor with supporting documentation, and a copy of the Notice to File Missing Parts.

It is submitted that the attached documents support the identification of John Guest and Brian Tagg as the inventors of this application; that the inventors were unavailable to sign the declaration for this application despite diligent efforts; that the inventors had agreed to assign all right, title and interest in and to the invention covered by this application to Inca Payments, Ltd, as recorded with the U.S. Patent and Trademark Office on June 20, 2005 at Reel 017179 and Frame 0278; that Inca Payments, Ltd. subsequently assigned its rights to the application to GTECH Global Services Corporation, Ltd., that GTECH Global Services Corporation, Ltd. is entitled to make this application for patent on behalf of the inventors; and that this response and supporting documentation is in compliance with 37 CFR 1.63 and 1.47.

Attached is a check in the amount of \$2330.00 covering the required fee for this response, along with a petition and fee for a 5-month extension of time. The Commissioner is hereby authorized to charge Deposit Account No. 50-0766 in payment of any additional required fees, with the exception of the issue fee.

Respectfully submitted,

WILLIAMS MULLEN

  
Thomas F. Bergert, Reg. No. 38,076

WILLIAMS MULLEN  
8270 Greensboro Drive  
Suite 700  
McLean, VA 22102  
(703) 760-5200

Attached: (a) check for \$2330.00  
(b) Combined Declaration and Power of Attorney  
(c) Added Page to Combined Declaration and Power of Attorney  
(d) Statement of Facts in support of filing for non-signing inventors

- (e) copy of correspondence sent to non-signing inventors
- (f) Statement of Proprietary Interest by Person signing on behalf of non-signing inventors
- (g) copy of recorded assignment from inventors to Inca Payments, Ltd.
- (h) copy of assignment from Inca Payments, Ltd. to GTECH Global Services Corporation, Ltd.
- (i) recordation form cover sheet
- (j) petition and fee for 5 month extension of time
- (k) copy of Notice to File Missing Parts
- (l) certificate of express mailing

Date: May 30, 2006





## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/539,461	FIRST NAMED APPLICANT John Guest	ATTY. DOCKET NO. 033327.0024
INTERNATIONAL APPLICATION NO. PCT/IB03/05665		
I.A. FILING DATE 12/22/2003	PRIORITY DATE 12/20/2002	

Thomas F Bergert  
Williams Mullen  
8270 Greensboro Drive  
Suite 700  
McLean, VA 22102

Entered in Patent Docket  
By: kg Date: 10/31/05  
Action due: \_\_\_\_\_  
Williams Mullen

CONFIRMATION NO. 8391

371 FORMALITIES LETTER



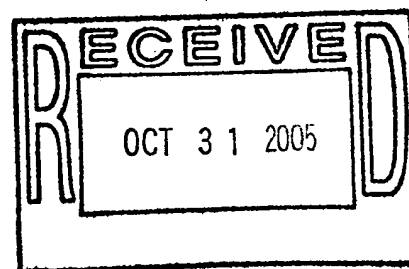
\*OC000000017332910\*

Date Mailed: 10/31/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/20/2005
- Copy of the International Search Report filed on 06/20/2005
- Preliminary Amendments filed on 06/20/2005
- U.S. Basic National Fees filed on 06/20/2005
- Priority Documents filed on 06/20/2005
- Specification filed on 06/20/2005
- Claims filed on 06/20/2005
- Abstracts filed on 06/20/2005
- Drawings filed on 06/20/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/539,461	PCT/IB03/05665	033327.0024

**C L I F F O R D  
C H A N C E**

**LIMITED LIABILITY PARTNERSHIP**



**RADICALL PROJECTS LIMITED**

**AND**

**JOHN GUEST**

**AND**

**BRIAN TAGG**

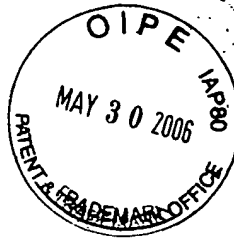
**AND**

**INCA PAYMENTS LIMITED**

---

**IP ASSIGNMENT AGREEMENT**

---



THIS AGREEMENT is made on 24 June 2003

BETWEEN:

- (1) RADICALL PROJECTS LIMITED, a company incorporated in England (registered no. 4479900), whose registered office is at Stansted House, Hampshire PO9 6DX, United Kingdom (the "Assignor");
- (2) JOHN GUEST of Tregarth, 5 Old Street, Hill Head, Fareham, Hants PO14 3HU;
- (3) BRIAN TAGG of The Old Bakery, Cheddon Fitzpaine, Taunton, Somerset TA2 8LN (together with John Guest, the "Inventors" and each an "Inventor"); and
- (4) INCA PAYMENTS LIMITED, a company incorporated in England (registered no. 4724859), whose registered office is at Link House, 19 Colonial Way, Watford, Hertfordshire WD24 4JL United Kingdom (the "Assignee").

THE PARTIES AGREE as follows:

**RECITALS:**

- (A) The Inventors claim to have transferred their interest in the Payment System Patent Application to the Assignor.
- (B) In the event that the Inventors have retained any right, title or interest in the Payment System Patent Application, the Inventors now wish to transfer any such right, title and interest to the Assignee.
- (C) In the event that the Assignor or an Inventor owns any patent or design rights relating to the Inca Services (whether registered or unregistered) (including, without limitation, the Payment System Patent Application) which it has not already assigned to the Assignee it now also wishes to assign those rights to the Assignee.
- (D) The Assignor and the Inventors may own certain copyright which the Assignee requires in order to provide the Inca Services, and to the extent that they have not already assigned the same to the Assignee, they now wish to do so.

**1. DEFINITIONS**

**1.1 In this Agreement (including in the recitals to this Agreement):**

"Act" means the Copyright, Designs and Patents Act 1988 as modified or re-enacted or both from time to time whether before or after the date of this Agreement;

"Applications" means any applications by the Assignor or an Inventor (whether legally or beneficially and whether solely or jointly with another party or person and wherever subsisting in the world) to register any patents relating to, used or exploited or capable of being used or exploited in connection with the Inca Services including the Payment System Patent Application and any right to make such application;

"Designs" means the registered designs and unregistered design rights and any applications for designs relating to, used or exploited, or capable of being used or

exploited in connection with the Inca Services and any right to make such an application (if any) which the Assignor or an Inventor may at the date of this Agreement own (whether legally or beneficially and whether solely or jointly with another party or person and wherever subsisting in the world);

"Inca Services" means the services related to or connected with the use of two-dimensional barcode, magnetic stripe and "e-voucher" technologies together with other technologies to facilitate the use of retail electronic networks for the purpose of permitting the prepayment of mobile telephone services;

"Patents" means together the Applications and the Registered Patents and any registered patents or patent applications deriving priority therefrom relating to, used or exploited, or capable of being used or exploited in connection with the Inca Services;

"Payment System Patent Application" means the UK patent application specified in the Schedule to this Agreement;

"Registered Patents" means any United Kingdom registered patents (if any) owned by the Assignor or an Inventor (whether legally or beneficially and whether solely or jointly with another person or parties) relating to, used or exploited or capable of being used or exploited in connection with the Inca Services;

"Works" means all code, source code and other software (including, without limitation, all modules, routines and sub-routines and all source and other preparatory materials relating to them, including functional specifications and programming specifications, programming languages, algorithms, flow charts, logic, logic diagrams, orthographic representations, file structures, coding sheets and coding), any user manuals, design documentation, process documentation, test plans and all other documentation relating to the Inca Services.

- 1.2 References in this Agreement to the masculine gender shall include the feminine and neuter genders.

## 2. ASSIGNMENT

In consideration of the sum of £1 now paid by the Assignee to the Assignor and each Inventor (the receipt of which the Assignor and the Inventors each hereby acknowledge), each of the Assignor and each Inventor hereby assigns to the Assignee absolutely, as legal and beneficial owner and with full title guarantee, all of its rights, title and interest (if any) in and to:

- 2.1 all copyright and other rights of a similar nature in the Works (wherever subsisting in the world) including, without limitation:

- 2.1.1 the exclusive right to do and to authorise others to do the acts restricted by Part 1 of the Act in relation to the Works in the United Kingdom;
- 2.1.2 all rights of a similar nature to those described in clause 2.1.1 conferred in respect of the Works by the laws in force in all other countries; and

- 2.1.3 the right to sue for and to recover damages and other remedies in respect of any infringement of the copyright in the Works which may have occurred before the date of this Agreement; and
- 2.2 the Patents, including, without limitation:
  - 2.2.1 its right, title and interest (if any) in and to the Applications with the intent that the grant of any patents pursuant to the Applications will be in the name of and will vest in the Assignee;
  - 2.2.2 any rights the Assignor or an Inventor may have to make any further Application (if any) with the intent that the Application may be made in the Assignee's name and the grant of any patents pursuant to the Applications will be in the name of and will vest in the Assignee;
  - 2.2.3 all the rights, powers, liberties and immunities conferred on the applicant for or registered proprietor of the Patents, free from all liens, charges and encumbrances;
  - 2.2.4 the right to sue for and to recover damages and other remedies in respect of any infringement of the Patents or other acts carried out by another person within the scope of the claims of any published specification of any of the Patents which may have occurred before the date of this Assignment; and
  - 2.2.5 all of its rights to apply for, prosecute and obtain patents or similar rights or protection in respect of any of the inventions forming the subject-matter of the Patents in any country of the world (including the right to claim priority from the Patents); and
- 2.3 all of its rights, title and interest (if any) to the Designs at the date of this Agreement including:
  - 2.3.1 the right to do and to authorise others to do the acts restricted by Part III of the Act in relation to the Designs in the United Kingdom; and
  - 2.3.2 the right to sue for and to recover damages and other remedies in respect of any infringement of the Designs which may have occurred before the date of this Assignment.
- 3. **FURTHER ASSURANCE**
  - 3.1 The Assignor and the Inventors shall on request by the Assignee in writing and at the Assignee's expense do and execute or arrange for the doing and executing of, each necessary act, document and thing to implement the assignments provided for in this Agreement.
  - 3.2 The Assignor and the Inventors shall, at the Assignee's expense, give all such assistance as the Assignee may reasonably request to complete the registration of:
    - 3.2.1 any Application existing at the date of this Agreement;

3.2.2 any future patent application deriving its priority date from a Registered Patent or an Application;

3.2.3 any future application for the registration of any Designs.

4. **GOVERNING LAW**

This Agreement is governed by English law.

**SCHEDULE**

<b>Country</b>	<b>Application Number</b>	<b>Application Date</b>
United Kingdom	GB0229765.3	20 December 2002



EXECUTED by the parties


Signed by )  
a duly authorised )  
representative of )  
RADICALL PROJECTS LIMITED: )

NICHOLAS NEARING-SMITH  
DIRECTOR

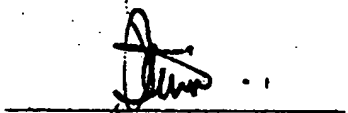
 Signature

Signed by )  
a duly authorised )  
representative of )  
INCA PAYMENTS LIMITED: )

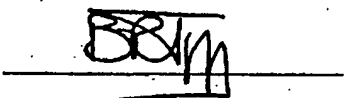
LESLIE G. LOWIN  
DIRECTOR

 Signature

Signed by )  
JOHN GUEST : )

 Signature

Signed by )  
BRIAN TAGG : )

 Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	]	
	]	
John Guest et al.	]	Box: MISSING PARTS
	]	
Serial No. 10/539,461	]	
	]	
Filed: December 20, 2002	]	
	]	
For: PAYMENT SYSTEM	]	

**STATEMENT OF FACTS IN SUPPORT OF FILING ON BEHALF OF NON-SIGNING  
INVENTORS AND PROOF OF NEED TO PREVENT IRREPARABLE DAMAGE  
UNDER 37 C.F.R. 1.47(B) AND 35 U.S.C. 118**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This statement is made as to the exact facts that are relied upon to establish the diligent effort made to secure the execution of the declaration by the non-signing inventors for the above-identified patent application before and after deposit thereof in the U.S. Patent and Trademark Office.

Because signing on behalf of the non-signing inventors is by an entity showing a sufficient proprietary interest, this statement also recites facts as to why this action is necessary to preserve the rights of the parties or to prevent irreparable damage.

**IDENTIFICATION OF PERSON MAKING THIS STATEMENT OF KNOWN FACTS**

Denise Taliaferro, Esq.  
GTECH Corporation  
55 Technology Way  
West Greenwich, RI 02817

**LAST KNOWN ADDRESSES OF THE NON-SIGNING INVENTORS**

John Guest and Brian Tagg, who are non-signing inventors who cannot be found or reached. The inventors are English citizens whose last known addresses are as follows:

- (1) John Guest, Tregarth, 5 Old Street, Hill Head, Fareham, Hants PO14 3HU, UK  
(2) Brian Tagg, The Old Bakery, Cheddon Fitpaine, Taunton, Somerset TA2 8LN, UK

#### DETAILS OF EFFORTS TO REACH NON-SIGNING INVENTORS

John Guest and Brian Tagg are the inventors of the invention described in the present patent application. At the time of the invention, the inventors were employees of Inca Payments Ltd. ("Inca"), a UK company. At the time of filing the priority GB application no. 0229465.3 in the UK, Inca was partly owned by GTECH Corporation. Subsequent to the filing of the priority GB application, and on or around June 24, 2003, the inventors assigned rights to the invention to Inca pursuant to an IP assignment agreement referenced and attached to the separately submitted Statement Establishing Proprietary Interest. On or around February 22, 2005 GTECH Global Services Corporation acquired the remaining previously-unowned portion of Inca so as to have complete ownership of Inca, at which time Inca became a subsidiary of GTECH Global Services Corporation. At the time of filing the present application, the above-named inventors were unavailable to sign the application papers.

On March 30, 2006, I did cause to be mailed a letter to be mailed via UPS to the last known address of non-signing inventors Guest and Tagg. A complete copy of the application papers was enclosed with the registered letter. A copy of the letter as sent is attached hereto. No return receipt has been received indicating that this inventor received the application papers.

#### PROOF OF NEED TO PREVENT IRREPARABLE DAMAGE OR PRESERVE THE RIGHTS OF THE PARTIES

This action is necessary to preserve the rights of the undersigned entity in making a timely claim of priority under 35 U.S.C. 119(a). The present application claims priority of the earlier filed GB application serial no. 0229465.3, filed December 20, 2002.

GTECH Corporation

Date: 5/26/06

By: Denise Taliaferro

Printed Name: Denise Taliaferro

Title: Sr. Corporate Counsel

Attached: copies of letter sent to non-signing inventors Guest and Tagg on March 30, 2006.  
Copy of UPS Authorization Form to letter of Tagg.

#1242273



55 Technology Way  
West Greenwich, Rhode Island  
02817 USA  
Telephone 401 392-1000  
Fax 401 392-0391

March 30, 2006

Brian Tagg  
The Old Bakery  
Cheddon Fitzpaine  
Taunton, Somerset TA28LN  
United Kingdom

Re: **Payment System: Application No. PCT/IB2003/005665**

Dear Mr. Tagg:

Enclosed please find a Declaration for Utility or Patent Application as it applies to the above-referenced patent application.

By way of background, you (and Mr. Brian Tagg) assigned this patent to Radicall Projects Limited on June 24, 2003. I have enclosed a copy of this document for your review, as well as a copy of the PCT (Patent Cooperation Treaty) patent application, PCT/IBV2003/005665.

I would request that you and date the Declaration where indicated and return it to me as soon as possible. For your convenience, I have enclosed a Waybill for your use in returning the signed and dated document to me.

Thank you for your assistance. If you should have any questions, please do not hesitate to contact me.

Very truly yours,

DENISE D. TALIAFERRO

Sr. Corporate Counsel

Phone: 401-392-5010

Fax: 401-392-0391

Email: [denise.taliaferro@gtech.com](mailto:denise.taliaferro@gtech.com)

DDT:ab  
Enclosures



## UPS Authorization Form

SMT ID: A5107ETYYBS PCS: 00001 IMP ZONE: GB901 EXPT: US 0299 01APR06  
PKG ID: 1ZA5107E6695777174 IMPT: GB 3409 02APR06 A 9 21  
SERV LVL: 01 WW EXPRE BILL TRMS: P/P SHIP DATE: 31MAR06  
REF: 8400 REF:  
SHIPPER : A5107E CONSIGNEE :  
GTECH CORPORATION RI140 TAGG  
BUILDING ONE CHEDDON FITZPAINE  
55 TECHNOLOGY WAY THE OLD BAKERY  
WEST GREENWICH RI 02817 US TAUNTON, SOMERSE TA02 GB  
PH: 14013925010 TX: PH: 0000000000000 TX:  
SHPMNT DESCRPTION: LETTER VAL: USD

Tracking Number 1ZA5107E6695777174



Bill Shipper



Abandonment



Return

ATTN: DENISE TILLAFARO Customer Fax: (401) 392-0391 Date: 4/10/06

Credit Card Type

Expiration Date:

Credit Card Number:

Complete the following when the charges are billed to an alternate account other than the original shipper.

Alternate Name:

Alternate Acct. No.:

Alternate Address:

Alternate Phone:

## The following is a summary of charges owed on the shipment:

Return Freight: \$38.25

Duty:

\* Original Freight Charges:

VAT (tax):

Freight Reversal Charges:

Amend Free Domicile Charge:

Warehouse Fees:

Brokerage Fees:

Misc. / Other:

\*\* Total Charge:

\$38.25

\* Complete if original bill method was F/C, FOB, C&amp;F

\*\* Total amount shipper agrees to pay



**Return/Bill Shipper** - I, the undersigned, have authorized UPS to bill charges listed above. In the event of non-payment by the third party, charges will be billed to the shipper.

**Abandon** - I, the undersigned have authorized to relinquish the above referenced shipment to customs and/or UPS.

\*\*\*All returns and abandonment's are pending customs clearance and UPS operations.\*\*\*

PRINT Shipper Contact Name:

Title:

Signature:

\*\*\*\*\* Please fax this authorization to: 502-374- 1283

\*\*\*\*\*

For UPS use ONLY

Processed by: Jo Prentice, ext 3536

Phone: 866-225-8308

Supervisor Approval:

Dept.: ISRG Louisville

Comments consignee moved from address, therefore, shipment undeliverable

Revised 7/19/2004